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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/528,727	12/05/2005	Farid Vaghefi	34074.00022/07.1005	4206
61214 Fox Rothschild.	7590 10/20/201 ¹ , LLP	0	EXAMINER	
Elan Pharma In	ternational Limited		ROYDS, LESLIE A	
997 Lenox Driv Lawrenceville,			ART UNIT	PAPER NUMBER
			1614	
			NOTIFICATION DATE	DELIVERY MODE
			10/20/2010	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ipdocket@foxrothschild.com

	Application No.	Applicant(s)	
	10/528,727	VAGHEFI ET AL.	
Office Action Summary	Examiner	Art Unit	
	Leslie A. Royds	1614	
The MAILING DATE of this communicate Period for Reply	tion appears on the cover sheet v	rith the correspondence address	
A SHORTENED STATUTORY PERIOD FOR WHICHEVER IS LONGER, FROM THE MAIL - Extensions of time may be available under the provisions of 3 after SIX (6) MONTHS from the mailing date of this communic - If NO period for reply is specified above, the maximum statuto - Failure to reply within the set or extended period for reply will, Any reply received by the Office later than three months after earned patent term adjustment. See 37 CFR 1.704(b).	LING DATE OF THIS COMMUN 7 CFR 1.136(a). In no event, however, may a cation. by period will apply and will expire SIX (6) MO by statute, cause the application to become A	CATION. reply be timely filed NTHS from the mailing date of this communication BANDONED (35 U.S.C. § 133).	
Status			
Responsive to communication(s) filed of the case	This action is non-final. allowance except for formal ma	·	;
Disposition of Claims			
4) ☐ Claim(s) 1,4,5,7,9,11-24 and 27-40 is/a 4a) Of the above claim(s) 12-23 and 27- 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1,4,5,7,9,11,24 and 40 is/are r 7) ☐ Claim(s) 9 is/are objected to. 8) ☐ Claim(s) are subject to restriction Application Papers 9) ☐ The specification is objected to by the E 10) ☐ The drawing(s) filed on is/are: a) Applicant may not request that any objectio Replacement drawing sheet(s) including the	rejected. n and/or election requirement. examiner. n accepted or b) objected to to the drawing(s) be held in abeyance correction is required if the drawing	by the Examiner. nce. See 37 CFR 1.85(a). g(s) is objected to. See 37 CFR 1.121(c	1).
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for a) All b) Some * c) None of: 1. Certified copies of the priority doc 2. Certified copies of the priority doc 3. Copies of the certified copies of the application from the International * See the attached detailed Office action for	cuments have been received. cuments have been received in a the priority documents have been Bureau (PCT Rule 17.2(a)).	Application No n received in this National Stage	
Attachment(s) 1) ☑ Notice of References Cited (PTO-892) 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-3) ☑ Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 24Nov09.	-948) Paper No	Summary (PTO-413) (s)/Mail Date Informal Patent Application 	

Claims 1, 4-5, 7, 9, 11-24 and 27-40 are presented for examination.

Applicant's Amendment and Information Disclosure Statement (IDS) filed November 24, 2009

has been received and entered into the present application. As reflected by the attached, completed copy

of form PTO/SB/08A (two pages total), the Examiner has considered the cited references.

Claims 1, 4-5, 7, 9, 11-24 and 27-40 are pending. Claim 25 is cancelled. Claims 12-23 remain

withdrawn from consideration pursuant to 37 C.F.R. 1.142(b). Claims 27-40 are newly added. Claims 1,

4-5, 7, 9 11 and 24 are amended.

Applicant's arguments, filed November 24, 2009, have been fully considered. Rejections and/or

objections not reiterated from previous Office Actions are hereby withdrawn. The following rejections

and/or objections are either reiterated or newly applied. They constitute the complete set of rejections

and/or objections presently being applied to the instant application.

Withdrawal of Newly Added Claims 27-39: Election by Original Presentation

Applicant's amendment to add new claims 27-39 has been carefully considered in light of the

subject matter that was elected and examined in the previous non-final Office Action.

The MPEP states at §819:

"The general policy of the Office is not to permit the Applicant to shift to claiming another

invention after an election is once made and action given on the elected subject matter."

Newly submitted claims 27-39 are directed to a patentably distinct product from the invention

originally claimed and elected for examination for the following reasons: newly added claims 27-32 are

directed to a hard gelatin capsule composition, which encapsulates a plurality of immediate-release

microspheres of a hydrophobic polymer matrix with an active ingredient capable of abuse present therein

in an amount sufficient to provide a specific T_{max} of 2-4 hours; with a plurality of controlled-release

micropheres of a water-insoluble organic matrix that resists dissolution or acidic degradation that at 50-800 microns, with an active ingredient capable of abuse therein, which are coating with methylmethacrylate or ethylcellulose, and further wherein the active ingredient is released such that blood plasma concentrations are maintained from 8-24 hours; and newly added claims 33-39 are directed to a polymeric matrix material mixed with an aliphatic alcohol, in combination with particles of an active ingredient capable of abuse with a water-insoluble controlled release coating that are chemically bonded to the matrix; whereas the claims as originally provided and elected for examination were directed to a composition comprising coated particles of an active compound capable of abuse.

The inventions are distinct because they are directed to distinct products, which can be shown to be distinct if: (1) the inventions as claimed are either not capable of use together or can have a materially different design, mode of operation, function, or effect; (2) the inventions do not overlap in scope, i.e., are mutually exclusive; and (3) the inventions as claimed are not obvious variants. See MPEP § 806.05(j). In the instant case, each of compositions have distinct chemical, physical and structural arrangements and functional properties unique to each product as a result of the distinct and unique chemical, physical and structural formulations employed in each product such that they are not used together, are not related, have a different design (i.e., structure) and do not overlap in scope. Furthermore, the inventions as claimed do not encompass overlapping subject matter and there is nothing of record to show them to be obvious variants.

Since Applicant has received an action on the merits for the originally presented and elected invention, this invention has been constructively elected by original presentation for prosecution on the merits. Accordingly, claims 27-39 are withdrawn from consideration as being directed to a non-elected invention. Please see 37 C.F.R. 1.142(b) and MPEP §821.03. As stated in the MPEP at §818.02(a), "The claims originally presented and acted upon by the Office on their merits determine the invention elected by an Applicant in the application, and in any request for continued examination (RCE) which has been

filed for the application. Subsequently presented claims to an invention other than that acted upon should

be treated as provided in MPEP §821.03."

Objection to the Claims (New Grounds of Objection)

Claim 9 is objected to for reciting "and wherein the composition does not include an antagonist of

the water soluble compound capable of abuse" because such a limitation has already been provided for in

instant claim 1, from which instant claim 9 depends, and, therefore, is a redundant limitation. Correction

is required.

Claim Rejections - 35 USC § 112, First Paragraph, Written Description Requirement, New Matter

(New Grounds of Rejection)

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode

contemplated by the inventor of carrying out his invention.

Claims 1, 4-5, 7, 9, 11, 24 and 40 are rejected under 35 U.S.C. 112, first paragraph, as failing to

comply with the written description requirement. The claims contain subject matter which was not

described in the specification in such a way as to reasonably convey to one skilled in the relevant art that

the inventors, at the time the application was filed, had possession of the claimed invention.

In particular, the specification and claims as originally filed fail to provide clear written

description for the newly added limitations directed to (1) wherein the water soluble compound capable of

abuse is an opioid agonist (claim 1); (2) wherein the composition does not include an antagonist of the

water soluble compound capable of abuse (claim 1); (3) that the viscoelastic polymer is non-erodible at

pH less than about 6 or is erodible in the presence of bile salts and lipase (claims 4-5); or (4) that the

viscoelastic polymer is a triglyceride wax selected from the group consisting of a hydrogenated

cottonseed oil wax, a partially hydrogenated soybean oil, carnauba wax or a mixture thereof (claim 40).

Regarding the limitation directed to wherein the water soluble compound capable of abuse is an opioid agonist (claim 1), the instant specification recites a number of specific species of compounds capable of abuse that may be employed as the active water-soluble compound capable of abuse to be incorporated into the instantly claimed abuse-resistant pharmaceutical composition (e.g., fentanyl, sufentanil, carfentanil, lofentanil, etc.). However, the disclosure of specific species of compounds, wherein albeit some of the disclosed compounds may very well function as opioid agonist(s), fails to provide clear written support to now claim the use of any generic opioid agonist *per se* that was not previously disclosed, either explicitly or implicitly, by the specification and/or claims as originally filed. The specific disclosure of the various species of compound(s) fails to provide clear support to then broaden the claim to read upon the use of any opioid agonist *per se*. This newly added limitation represents a clear broadening of the subject matter both claimed and disclosed in the specification and claims as originally filed that is not adequately supported by the original disclosure and clearly circumscribes a concept that was not in Applicant's possession at the time of the invention.

Regarding the limitation directed to wherein the composition does not include an antagonist of the water soluble compound capable of abuse (claim 1), the instant specification fails to recite any description or limitation directed to the specific exclusion of an antagonist of the water soluble compound capable of abuse. In fact, the specification and/or claims are silent as to the inclusion or exclusion of such a compound and, therefore, fail to provide clear written support to claim an embodiment of the instant abuse-resistant pharmaceutical composition wherein an antagonist of the water soluble compound capable of abuse is not permitted as a component of the composition. This newly added limitation represents a clear narrowing of the subject matter both claims and disclosed in the specification and claims as originally filed that is not adequately supported by the original disclosure and clearly circumscribes a concept that was not in Applicant's possession at the time of the invention.

Regarding the limitation directed to wherein the viscoelastic polymer is non-erodible at pH less than about 6 or is erodible in the presence of bile salts and lipase (claims 4-5), the instant specification states at para.[0058], "In another embodiment, the opiate component is chemically bonded to the matrix...Those embodiments in which highly cross-linked polymers are used as the matrix, the tenacity of the composition is due to the hardness of the matrix. In alternative embodiments in which low cross-linked polymers or viscoelastic polymers are used as the matrix, the tenacity of the composition is due to the elasticity of the matrix. In these embodiments, matrix tenacity, or resistance to opiate component release, is imparted to the composition by the use of pharmaceutically acceptable cross-linked polymers such as cholestyramine resin." The specification further states at p.12, 1.11-13, that, "A preferred matrix material is non-erodible at pH less than 6. A further preferred aspect of the abuse-resistant composition comprises a matrix material that is erodible in the presence of bile salts and lipase."

While such disclosure has been fully and carefully considered, the instant specification clearly discloses the use of a viscoelastic polymer as one type of possible matrix material to be employed in the claimed abuse-resistant pharmaceutical composition and discloses other preferred matrix materials as those that are (i) non-erodible at pH less than about 6 or (ii) erodible in the presence of bile salts and lipase. However, the disclosure of each of these options as alternative types of matrix materials fails to provide clear written support to now claim that the water-insoluble matrix material is both a viscoelastic polymer *and* non-erodible at pH less than about 6 or both a viscoelastic polymer *and* erodible in the presence of bile salts and lipase. The specific disclosure of each of these species as alternative matrices fails to provide clear support to then narrow the claims to require that the matrix material have the characteristics of both types of matrix materials described. This newly amended limitation represents a clear narrowing of the subject matter both claimed and disclosed in the specification and claims as originally filed that is not adequately supported by the original disclosure and clearly circumscribes a concept that was not in Applicant's possession at the time of the invention.

Regarding the limitation directed to wherein the viscoelastic polymer is a triglyceride wax selected from the group consisting of a hydrogenated cottonseed oil wax, a partially hydrogenated soybean oil, carnauba wax or a mixture thereof (claim 40), the instant specification clearly discloses the use of triglyceride waxes as the water-insoluble matrix material, including hydrogenated cottonseed oil wax or partially hydrogenated soybean oil, and further discloses the use of per se waxes including carnauba wax. See p.13-14 of the instant specification. While it is noted that the instant specification clearly discloses the use of a viscoelastic polymer as one type of possible matrix material to be employed in the claimed abuse-resistant pharmaceutical composition and discloses other matrix materials as (i) triglyceride wax in the form of hydrogenated cottonseed oil wax or (ii) triglyceride wax in the form of partially hydrogenated soybean oil or (iii) carnauba wax, the disclosure of each of these options as alternative types of matrix materials fails to provide clear written support to now claim that the waterinsoluble matrix material is both a viscoelastic polymer and is (i) triglyceride wax in the form of hydrogenated cottonseed oil wax or (ii) triglyceride wax in the form of partially hydrogenated soybean oil or (iii) carnauba wax. The specific disclosure of each of these species as alternative matrices fails to provide clear support to then narrow the claims to require that the matrix material have the characteristics of both types of matrix materials described (e.g., both viscoelastic and a triglyceride wax, etc.) This newly added limitation represents a clear narrowing of the subject matter both claimed and disclosed in the specification and claims as originally filed that is not adequately supported by the original disclosure and clearly circumscribes a concept that was not in Applicant's possession at the time of the invention. Note, further, that the instant specification fails to provide any description of the use of mixtures of any of the disclosed and/or claimed waxes as presently recited in instant claim 40.

As stated in MPEP §2163, "The subject matter of the claim need not be described literally (i.e., using the same terms of *in haec verba*) in order for the disclosure to satisfy the description requirement." However, considering the teachings provided in the specification as originally filed, Applicant has failed

to provide the necessary teachings, by describing the claimed invention in such a way as to reasonably convey to one skilled in the relevant art that Applicant had possession of the concepts of (1) wherein the water soluble compound capable of abuse is an opioid agonist (claim 1); (2) wherein the composition does not include an antagonist of the water soluble compound capable of abuse (claim 1); (3) that the viscoelastic polymer is non-erodible at pH less than about 6 or is erodible in the presence of bile salts and lipase (claims 4-5); or (4) that the viscoelastic polymer is a triglyceride wax selected from the group consisting of a hydrogenated cottonseed oil wax, a partially hydrogenated soybean oil, carnauba wax or a mixture thereof (claim 40).

Accordingly, the claims are considered to lack sufficient written description and are properly rejected under 35 U.S.C. 112, first paragraph.

Claim Rejections - 35 USC § 112, Second Paragraph (New Grounds of Rejection)

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 7 and 24 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which Applicant regards as the invention.

Instant claim 1 specifies that the active water soluble compound to be incorporated into the abuse-resistant controlled-release pharmaceutical composition is an opioid agonist. However, instant claims 7 and 24 state that the compound is a narcotic. While it may be true that some opioid agonist may also be considered "narcotic agents", the two terms are not necessarily coextensive and, as a result, render the scope of the claims indefinite because it is unclear as to whether the active water soluble compound is intended to be either an opioid agonist or a narcotic agent or an opioid agonist that is also a narcotic agent. As a result of this ambiguity in the claims, the metes and bounds of the claim are not clearly set

forth and, therefore, do not reasonably apprise one of ordinary skill in the art at the time of the invention of the subject matter for which Applicant is presently seeking protection.

For these reasons, the claims fail to meet the tenor and express requirements of 35 U.S.C. 112, second paragraph, and are, thus, properly rejected.

Claim Rejections - 35 USC § 103 (New Grounds of Rejection)

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1, 7, 9, 11, 24 and 40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cain et al. (U.S. Patent No. 3,402,240; 1968).

Cain et al. teaches a tablet formulation containing a predetermined amount of a therapeutic active agent or drug to be administered to a patient and functions to release a small but therapeutically effective portion of the agent or drug and thereafter continue to slowly and gradually release the agent or drug over many hours (i.e., "controlled release" as required by instant claim 1; col.1, l.10-16), wherein the tablet contains a matrix that is substantially insoluble in gastric and intestinal juices, a therapeutically bland or

inert filler or extender and an active therapeutic agent or drug, further wherein the matrix is, *inter alia*, carnauba wax (i.e., which is equivalent to Applicant's instantly claimed "viscoelastic polymer" as defined in instant claim 40, which constitutes the "water-insoluble matrix material" as recited in instant claim 1; col.1, 1.31-37). Cain et al. teaches that the therapeutic agent may be selected from, *inter alia*, alkaloid compounds, such as, *inter alia*, dihydrocodeinone bitartrate (col.3, 1.3). Cain et al. discloses that the finished tablets comprise the matrix consisting of an aggregate of the ground carnauba wax having interconnecting voids therein, in which are embedded an intimate mixture of the active ingredient and filler (col.4, 1.17-21), wherein the tablet matrix forms a skeleton in the spaces of which the filler and active ingredient particles are distributed in mixed intimate relation (col.4, 1.28-33). Cain et al. teaches that each tablet consists of about 30.45% wax (i.e., understood to meet Applicant's "abuse-reducing amount" as recited in instant claim 1, absent factual evidence to the contrary and absent a specific definition by Applicant as to what constitutes an "abuse-reducing amount"); about 6.10% active ingredient; about 57.35% filler; and about 6.10% glucose (col.4, 1.22-24).

Though the cited prior art of Cain et al. is silent as to the claimed properties of (1) wherein crushing, compressing, fracturing, tumbling, rolling or milling of the controlled-release composition results in an increase in the aqueous dissolution of the active water soluble compound by less than about 15% of the total pharmaceutically effective amount of the active water soluble compound in the first hour of *in vitro* dissolution testing (claim 1) or (2) wherein crushing said matrix before contacting with water increases the aqueous dissolution of the active water soluble compound in said composition by less than about 10% of the total pharmaceutically effective amount of the active water soluble compound in the composition in the first hour of *in vitro* dissolution testing (claim 9), it is noted that the teaching of a composition with identical formulation components and characteristics (i.e., same active agents, same matrix, same structural relationships between the components, etc.) must necessarily possess the same functional properties of increasing the aqueous dissolution of the active water soluble compound when

subjected to crushing, etc., even though such properties may not have been appreciated by the patentee(s) at the time of the invention. This is because products of identical chemical composition cannot have mutually exclusive properties because a chemical composition and its properties are inseparable. Thus, if the prior art teaches the identical chemical structure, the properties Applicant discloses and/or claims must necessarily be present, absent factual evidence to the contrary.

In re Best (195 USPQ 430) and In re Fitzgerald (205 USPQ 594) discuss the support of rejections wherein the prior art discloses subject matter, which there is reason to believe includes functions and/or properties that are newly cited, or is identical to a product instantly claimed. In such a situation the burden is shifted to the Applicants to "prove that subject matter to be shown in the prior art does not possess the characteristic relied on" (205 USPQ 594, second column, first full paragraph). There is no requirement that a person of ordinary skill in the art would have recognized the newly cited function and/or property at the time of invention, so long as the function and/or property can be demonstrated to be reasonably expected to be present. Schering Corp. v. Geneva Pharm. Inc., 339 F.3d 1373, 1377, 67 USPQ2d 1664, 1668 (Fed. Cir. 2003); see also Toro Co. v. Deere & Co., 355 F.3d 1313, 1320, 69 USPQ2d 1584, 1590 (Fed. Cir. 2004) ("[T]he fact that a characteristic is a necessary feature or result of a prior-art embodiment (that is itself sufficiently described and enabled) is enough for inherent anticipation, even if that fact was unknown at the time of the prior invention"). In the instant case, though the cited prior art may not expressly teach the claimed properties of increasing the aqueous dissolution of the active water soluble compound when subjected to crushing, etc., the prior art clearly teaches a composition with identical formulation components and characteristics (i.e., same active agents, same matrix, same structural relationship between the components, etc.) and, therefore, these resultant properties must also be the same, absent factual evidence to the contrary. The burden is now shifted to Applicant to prove that, in fact, the cited prior art does not possess these same claimed characteristics.

Furthermore, though the cited prior art to Cain et al. teaches a composition physically and

structurally identical to that of the instant claims, the limitation of "for administration to a subject in need thereof from once to four times a day" (claim 11) is a clear statement of intended use of the composition as a whole and does not impart any physical or material characteristics to the composition that are not already present in the prior art. If the body of a claim fully and intrinsically sets forth all of the limitations of the claimed invention, and the claim merely states, for example, a purpose or intended use of the invention, rather than any distinct definition of any of the claimed invention's limitations, then the limitation is of no significance to claim construction. See *Pitney Bowes, Inc. v. Hewlett-Packard Co.*, 182 F.3d 1298, 1305, 51 USPQ2d 1161. 1165 (Fed. Cir. 1999). See also *Rowe v. Dror*, 112 F.3d 473, 478, 42 USPQ2d 1550, 1554 and MPEP §2112.02(II). In the instant case, the cited prior art meets each and every structural and physical limitation of the instantly claimed composition and, thus, would be reasonably expected to be capable of performing the intended use as instantly claimed, absent factual evidence to the contrary and further absent any apparent structural difference between the composition of the cited prior art and that of the instant claims.

Conclusion

Rejection of claims 1, 4-5, 7, 9, 11, 24 and 40 is proper.

Claims 12-23 and 27-39 are withdrawn from consideration pursuant to 37 C.F.R. 1.142(b).

No claims of the present application are allowed.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE**-

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MONTH shortened statutory period, then the shortened statutory period will expire on the date the

advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the

mailing date of the advisory action. In no event, however, will the statutory period for reply expire later

than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should

be directed to Leslie A. Royds whose telephone number is (571)-272-6096. The examiner can normally

be reached on Monday-Friday (9:00 AM-5:30 PM).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ardin

H. Marschel can be reached on (571)-272-0718. The fax phone number for the organization where this

application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application

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/Leslie A. Royds/

Primary Examiner, Art Unit 1614

October 14, 2010